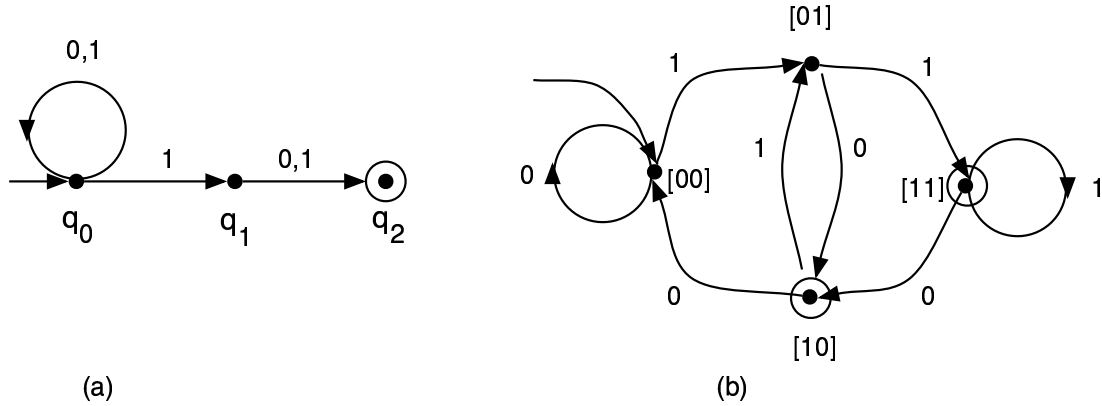


Example of subset construction (from Kozen, *Automata and Computation*):

Consider the set  $A = \{x \in \{0, 1\}^* \mid \text{2nd symbol from right is } 1\}$ .

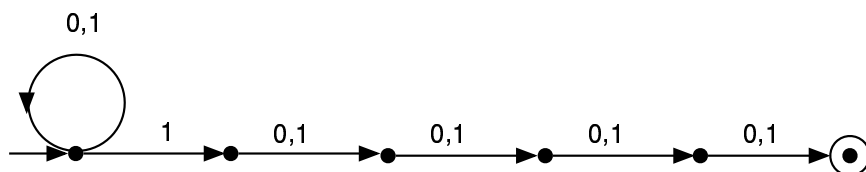


A 3-state non-deterministic machine (NFA) with the set  $A$  of accepted strings is given in the figure above (a), with the states labelled  $q_0, q_1, q_2$ . The transition function for an NFA returns sets of possible states rather than particular states. Each such subset can be regarded as a particular state of an associated DFA. The “subset construction” thus associates to the above NFA a deterministic machine (DFA) whose states are the subsets  $\phi, \{q_0\}, \{q_1\}, \{q_2\}, \{q_0, q_1\}, \{q_0, q_2\}, \{q_1, q_2\}, \{q_0, q_1, q_2\}$  of the set  $\{q_0, q_1, q_2\}$  of states of the NFA. The state transitions of the NFA are given at left below:

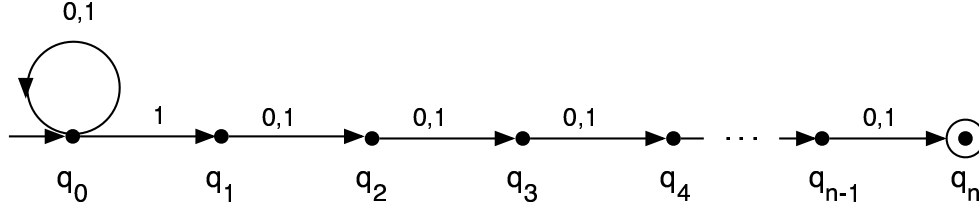
	0	1		0	1
$\phi$	$\phi$	$\phi$			
$\{q_0\}$	$\{q_0\}$	$\{q_0, q_1\}$		$\{q_0\}$	$\{q_0, q_1\}$
$\{q_1\}$	$\{q_2\}$	$\{q_2\}$		$\{q_0, q_2\}$	$\{q_0, q_1, q_2\}$
$\{q_2\}$ F	$\phi$	$\phi$	$\Rightarrow$	$\{q_0, q_1\}$	$\{q_0, q_1, q_2\}$
$\{q_0, q_1\}$	$\{q_0, q_2\}$	$\{q_0, q_1, q_2\}$		$\{q_0, q_2\}$ F	$\{q_0, q_1\}$
$\{q_0, q_2\}$ F	$\{q_0\}$	$\{q_0, q_1\}$		$\{q_0, q_1, q_2\}$ F	$\{q_0, q_2\}$
$\{q_1, q_2\}$ F	$\{q_2\}$	$\{q_2\}$			$\{q_0, q_1, q_2\}$
$\{q_0, q_1, q_2\}$ F	$\{q_0, q_2\}$	$\{q_0, q_1, q_2\}$			

where F designates accepted final states, those containing  $q_2$ . Note however that the states  $\phi, \{q_1\}, \{q_2\}, \{q_1, q_2\}$  are inaccessible, i.e., cannot be reached from the start state  $q_0$  via any string of transitions. Excluding those four leaves the state transitions at right above, defining a 4-state DFA. This is identical to a deterministic model that remembers the last two bits seen and accepts the string iff the next to last is a 1, as shown at right in the above figure (b), with states labelled by the last two bits seen. The transitions of the two models agree under the identifications  $\{q_0\}=[00], \{q_0, q_1\}=[01], \{q_0, q_2\}=[10], \{q_0, q_1, q_2\}=[11]$ .

A 6-state NFA that accepts  $A = \{x \in \{0, 1\}^* \mid \text{5th symbol from right is } 1\}$  is shown below. An equivalent DFA would need at least  $2^5 = 32$  states to track the last 5 bits seen.



More generally, we can consider an  $n + 1$  state NFA that accepts the set of strings  $A = \{x \in \{0, 1\}^* \mid n^{\text{th}} \text{ symbol from right is } 1\}$ :



with the states now labelled  $q_0 \dots q_n$ . This NFA is exponentially smaller than the equivalent DFA, which requires at least  $2^n$  states to keep track of the last  $n$  bits.

To see how the subset construction of a DFA works in this case, note first of all that since we start at state  $q_0$ , and since there is always a path from  $q_0$  to itself for an arbitrary string of 0's and 1's, then accessible states must always contain  $q_0$  (just as the accessible states always contained the state  $q_0$  in the 3 state NFA on the previous page). This reduces the states of the DFA from the  $2^{n+1}$  possible to  $2^n$  accessible. The transition table takes the form:

	0	1
$\{q_0\}$	$\{q_0\}$	$\{q_0, q_1\}$
$\{q_0, q_i\} \quad (i < n)$	$\{q_0, q_{i+1}\}$	$\{q_0, q_1, q_{i+1}\}$
$\{q_0, q_n\}^{\text{F}}$	$\{q_0\}$	$\{q_0, q_1\}$
$\{q_0, q_i, q_j\} \quad (i < j < n)$	$\{q_0, q_{i+1}, q_{j+1}\}$	$\{q_0, q_1, q_{i+1}, q_{j+1}\}$
$\{q_0, q_i, q_n\}^{\text{F}}$	$\{q_0, q_{i+1}\}$	$\{q_0, q_1, q_{i+1}\}$
$\vdots$	$\vdots$	$\vdots$
$\{q_0, q_{i_1}, \dots, q_{i_{k-1}}, q_{i_k}\}$	$\{q_0, q_{i_1+1}, \dots, q_{i_{k-1}+1}, q_{i_k+1}\}$	$\{q_0, q_1, q_{i_1+1}, \dots, q_{i_{k-1}+1}, q_{i_k+1}\}$
$\{q_0, q_{i_1}, \dots, q_{i_{k-1}}, q_n\}^{\text{F}}$	$\{q_0, q_{i_1+1}, \dots, q_{i_{k-1}+1}\}$	$\{q_0, q_1, q_{i_1+1}, \dots, q_{i_{k-1}+1}\}$

(where in the next to last line we have  $i_1 < \dots < i_{k-1} < i_k < n$ ).

We see that the mapping of states from the NFA to DFA via the subset construction is such that the  $q_i$  for  $i = 1, \dots, n$  store the state of the previous  $n$  bits, with the absence or presence of a given  $q_i$  indicating whether or not the  $i^{\text{th}}$  member of the previous  $n$  bits (counting from the right) is 0 or 1. For example

$$\begin{aligned} \{q_0\} &= [0 \dots 0], \\ \{q_0, q_1\} &= [0 \dots 01], \\ \{q_0, q_i\} &= [0 \dots 1 \dots 0] \text{ (1 in } i^{\text{th}} \text{ position from right),} \\ \{q_0, q_i, q_j\} &= [0 \dots 1 \dots 1 \dots 0] \text{ (1s in } i^{\text{th}}, j^{\text{th}} \text{ positions from right),} \\ \{q_0, q_i, q_j, q_k\} &= [0 \dots 1 \dots 1 \dots 1 \dots 0] \text{ (1s in } i^{\text{th}}, j^{\text{th}}, k^{\text{th}} \text{ positions from right),} \\ &\text{etc.} \end{aligned}$$

and the accepted states are the  $2^{n-1}$  that contain  $q_n$ , i.e., with a 1 in the  $n^{\text{th}}$  position from the right.