

## Deterministic Finite Automata

An *alphabet*  $\Sigma$  is a finite set. A *string* over an alphabet  $\Sigma$  is a finite sequence of elements of  $\Sigma$ . A string of length 0 is the empty string and denoted  $\epsilon$ .

**Example** Let  $\Sigma = \{a, b, c\}$ . The following are all strings over  $\Sigma$ :  $aab, bccabca, abcabcabc, bbbb$ . Note that  $ab \neq ba$

We denote the set of all strings over a given alphabet  $\Sigma$  by  $\Sigma^*$ . We define  $\emptyset^* = \{\epsilon\}$ . For any set other than the empty set,  $\Sigma^*$  is an infinite set.

A *deterministic finite automata* (DFA) is a model with 5 components:

$$M = (Q, \Sigma, \delta, s, F)$$

$Q$  = a finite set, the *states*.

$\Sigma$  = a finite set, the *alphabet*

$\delta$  = a function  $Q \times \Sigma \rightarrow Q$ , the *transition function*

$s$  = an element of  $Q$ , the *start state*

$F$  = a subset of  $Q$ , the *final states*

**Example** Let  $M$  be specified by the following data:

$$Q = \{0, 1, 2\},$$

$$\Sigma = \{a, b\},$$

$$\delta(0, a) = 0, \delta(0, b) = 1, \delta(1, a) = 0, \delta(1, b) = 2, \delta(2, a) = 2, \delta(2, b) = 2$$

$$s = 0$$

$$F = 2$$

If we input a string in this example with at least two  $bs$ , we will end up in state 2, the final state.

We call a string *accepted* by  $M$  if we run the DFA on the string and end in a final state. In general, the set of all strings which are accepted by some DFA  $M$  is called the *language* accepted by  $M$  and written  $L(M)$ . A set of strings is called *regular* if it is equal to  $L(M)$  for some  $M$ .

The transition function of a DFA is defined on  $Q \times \Sigma$ . We would like to extend  $\delta$  to be defined on strings. We build such a function recursively:

$$\hat{\delta} : Q \times \Sigma^* \rightarrow Q$$

$$\hat{\delta}(q, \epsilon) = q$$

$$\hat{\delta}(q, xa) = \delta(\hat{\delta}(q, x), a)$$

With this extended transition function we can define a language accepted by  $M$  as:  $L(M) = \{x \in \Sigma^* | \delta(s, x) \in F\}$ .

**Example:** The following set:  $\{a^n b^n | n \geq 0\}$  is not a regular set. (why?)

**Lemma 1. Pumping Lemma** *For any regular set  $R$ : There exists  $k \geq 0$  s.t. for any strings  $x, y, z$  with  $xyz \in R$  and  $|y| \geq k$  there exists  $u, v, w$  s.t.  $y = uvw$  and for all  $i$ ,  $xuv^i w \in R$ .*

We can form new DFAs from other ones. Here we look at the *product construction*. Suppose we have two DFAs,  $M_1$  and  $M_2$  both with input language  $\Sigma$ . Define  $M_3$  over  $\Sigma$  with statistics:

$$Q_3 = Q_1 \times Q_2 = \{(p, q) | p \in Q_1 \text{ and } q \in Q_2\}$$

$$F_3 = F_1 \times F_2 = \{(p, q) | p \in F_1 \text{ and } q \in F_2\}$$

$$s_3 = (s_1, s_2)$$

$$\delta_3((p, q), a) = (\delta_1(p, a), \delta_2(q, a))$$