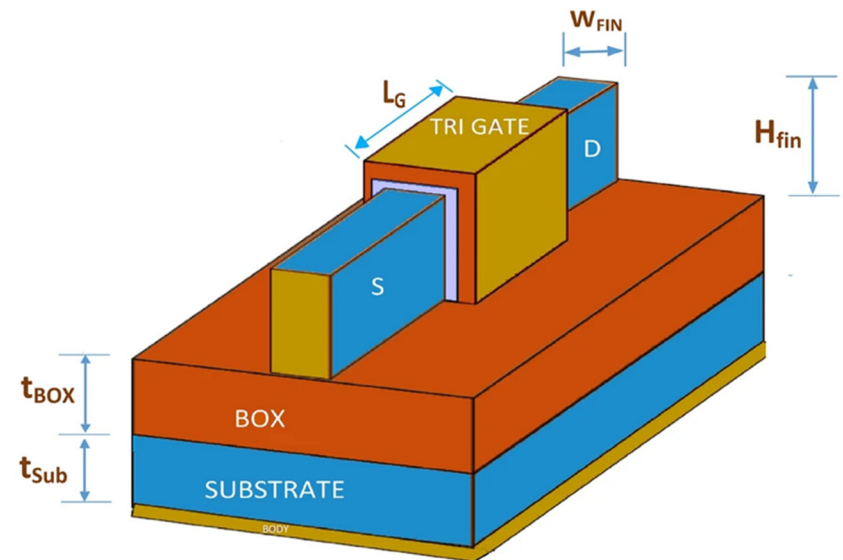


Lecture 6

Schrödinger Equation: The Time-Independent Form

In this lecture you will learn:

- Schrödinger equation – the time-independent form
- Particle in an infinite potential well
- Quantum mechanical tunneling



Schrödinger Equation: What We Learned from the Previous Lecture

The mean value of the energy is:

$$\langle E \rangle(t) = \int_{-\infty}^{\infty} d\mathbf{x} \psi^*(\mathbf{x}, t) \left[-\frac{\hbar^2}{2m} \frac{\partial^2}{\partial \mathbf{x}^2} + V(\mathbf{x}) \right] \psi(\mathbf{x}, t)$$

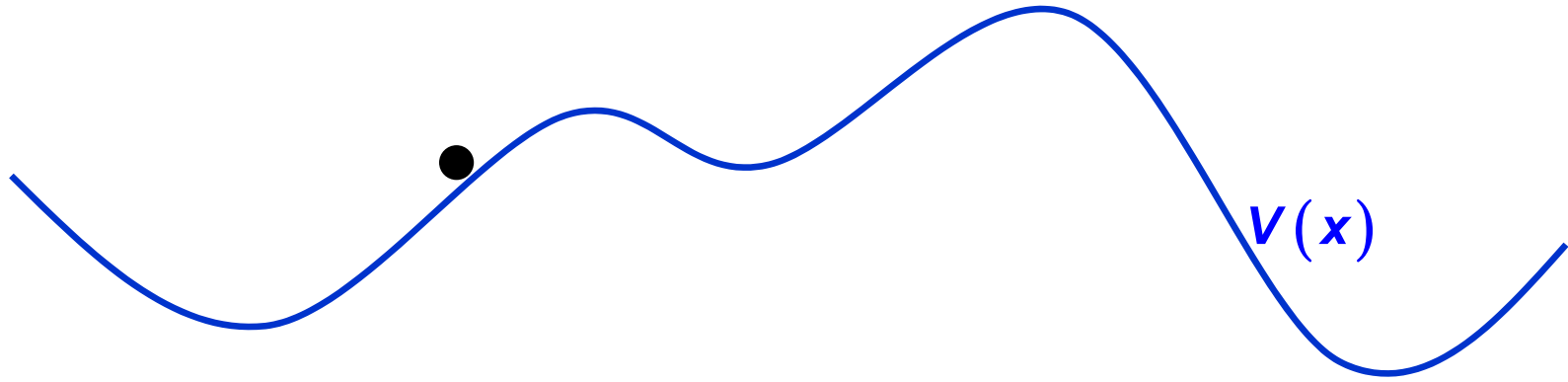
The total energy operator

The Schrodinger equation is:

$$i\hbar \frac{\partial \psi(\mathbf{x}, t)}{\partial t} = \left[-\frac{\hbar^2}{2m} \frac{\partial^2}{\partial \mathbf{x}^2} + V(\mathbf{x}) \right] \psi(\mathbf{x}, t)$$

The total energy operator

Energy Conservation in Newtonian Physics



Consider a particle of mass m with potential energy given by: $V(x)$

The potential is not explicitly time dependent

The total energy E of the particle, given by,

$$E = \frac{1}{2} m \left(\frac{dx}{dt} \right)^2 + V(x)$$

is conserved, i.e.,

$$\frac{dE}{dt} = m \left(\frac{dx}{dt} \right) \left(\frac{d^2x}{dt^2} \right) + \frac{dV(x)}{dx} \left(\frac{dx}{dt} \right) = 0$$

Total energy is time-independent

Using Newton's second law:

$$m \left(\frac{d^2x}{dt^2} \right) = - \frac{dV(x)}{dx}$$

Schrödinger Equation: Time-Independent Form (1D)

Many cases of practical interest involve problems in which the potential is not a function of time:

$$i\hbar \frac{\partial \psi(\mathbf{x}, t)}{\partial t} = -\frac{\hbar^2}{2m} \frac{\partial^2 \psi(\mathbf{x}, t)}{\partial \mathbf{x}^2} + V(\mathbf{x})\psi(\mathbf{x}, t)$$

In these cases, the **TOTAL** energy of the particle **DOES NOT CHANGE** with time and therefore we seek solutions of the form:

$$\psi(\mathbf{x}, t) = \phi(\mathbf{x}) e^{-i\frac{E}{\hbar}t} \quad \leftarrow \text{Energy } E$$

Normalization requirement gives:

$$\int_{-\infty}^{\infty} d\mathbf{x} \psi^*(\mathbf{x}, t)\psi(\mathbf{x}, t) = \int_{-\infty}^{\infty} d\mathbf{x} \phi^*(\mathbf{x})\phi(\mathbf{x}) = 1$$

Schrödinger Equation: Time-Independent Form (1D)

$$i\hbar \frac{\partial \psi(\mathbf{x}, t)}{\partial t} = -\frac{\hbar^2}{2m} \frac{\partial^2 \psi(\mathbf{x}, t)}{\partial \mathbf{x}^2} + V(\mathbf{x})\psi(\mathbf{x}, t)$$

$$\psi(\mathbf{x}, t) = \phi(\mathbf{x}) e^{-i\frac{E}{\hbar}t} \quad \leftarrow \text{Energy } E$$

The mean value of the energy is:

$$\begin{aligned} \langle E \rangle(t) &= \int_{-\infty}^{\infty} d\mathbf{x} \psi^*(\mathbf{x}, t) \left[-\frac{\hbar^2}{2m} \frac{\partial^2}{\partial \mathbf{x}^2} + V(\mathbf{x}) \right] \psi(\mathbf{x}, t) \\ &= \int_{-\infty}^{\infty} d\mathbf{x} \psi^*(\mathbf{x}, t) \left[i\hbar \frac{\partial}{\partial t} \right] \psi(\mathbf{x}, t) \\ &= \int_{-\infty}^{\infty} d\mathbf{x} \psi^*(\mathbf{x}, t) \left[i\hbar \frac{\partial \psi(\mathbf{x}, t)}{\partial t} \right] = \int_{-\infty}^{\infty} d\mathbf{x} \phi^*(\mathbf{x}) e^{i\frac{E}{\hbar}t} \left[E\phi(\mathbf{x}) e^{-i\frac{E}{\hbar}t} \right] \\ &= E \int_{-\infty}^{\infty} d\mathbf{x} \phi^*(\mathbf{x}) \phi(\mathbf{x}) = E \quad \longrightarrow \text{Independent of time} \end{aligned}$$

The standard deviation in energy can be shown to be zero:

$$\langle E^2 \rangle(t) - [\langle E \rangle(t)]^2 = 0$$

So energy of the state is precise!

Schrödinger Equation: Time-Independent Form (1D)

$$i\hbar \frac{\partial \psi(\mathbf{x}, t)}{\partial t} = -\frac{\hbar^2}{2m} \frac{\partial^2 \psi(\mathbf{x}, t)}{\partial \mathbf{x}^2} + V(\mathbf{x})\psi(\mathbf{x}, t)$$

$$\psi(\mathbf{x}, t) = \phi(\mathbf{x}) e^{-i\frac{E}{\hbar}t}$$

Plug the solution form in the Schrödinger equation to get the time-independent form of the Schrödinger equation:

$$-\frac{\hbar^2}{2m} \frac{\partial^2 \phi(\mathbf{x})}{\partial \mathbf{x}^2} + V(\mathbf{x})\phi(\mathbf{x}) = E\phi(\mathbf{x})$$

We need to solve this equation!

$$\left[-\frac{\hbar^2}{2m} \frac{\partial^2}{\partial \mathbf{x}^2} + V(\mathbf{x}) \right] \phi(\mathbf{x}) = E\phi(\mathbf{x})$$

The total energy operator

Schrödinger Equation: Time-Independent Form (3D)

Many cases of practical interest involve problems in which the potential is not a function of time:

$$i\hbar \frac{\partial \psi(\vec{r}, t)}{\partial t} = -\frac{\hbar^2}{2m} \nabla^2 \psi(\vec{r}, t) + V(\vec{r}) \psi(\vec{r}, t)$$

In these cases, the **TOTAL** energy of the particle **DOES NOT CHANGE** with time and therefore we seek solutions of the form:

$$\psi(\vec{r}, t) = \phi(\vec{r}) e^{-i\frac{E}{\hbar}t} \quad \leftarrow \text{Energy } E$$

Plug the solution form in the Schrödinger equation to get the time-independent form of the Schrödinger equation:

$$\left[-\frac{\hbar^2}{2m} \nabla^2 + V(\vec{r}) \right] \phi(\vec{r}) = E \phi(\vec{r})$$

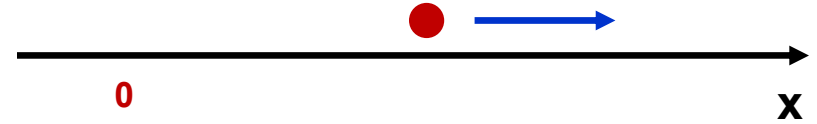
We need to solve this equation!

The solutions will represent states with fixed precise energies

The Free Particle in 1D

Consider a free particle in 1D

The potential energy $V(x)$ is 0 everywhere:



$$i\hbar \frac{\partial \psi(\mathbf{x}, t)}{\partial t} = -\frac{\hbar^2}{2m} \frac{\partial^2 \psi(\mathbf{x}, t)}{\partial \mathbf{x}^2} + \cancel{V(\mathbf{x})} \psi(\mathbf{x}, t)$$

$$\psi(\mathbf{x}, t) = \phi(\mathbf{x}) e^{-i\frac{E}{\hbar}t}$$

$$-\frac{\hbar^2}{2m} \frac{\partial^2 \phi(\mathbf{x})}{\partial \mathbf{x}^2} = E\phi(\mathbf{x})$$

Assume: $\phi(\mathbf{x}) = Ae^{ikx}$ \longrightarrow Plane wave solution

And:

$$-\frac{\hbar^2}{2m} \frac{\partial^2 \phi(\mathbf{x})}{\partial \mathbf{x}^2} = E\phi(\mathbf{x})$$

$$\Rightarrow \frac{\hbar^2 k^2}{2m} Ae^{ikx} = EAe^{ikx}$$

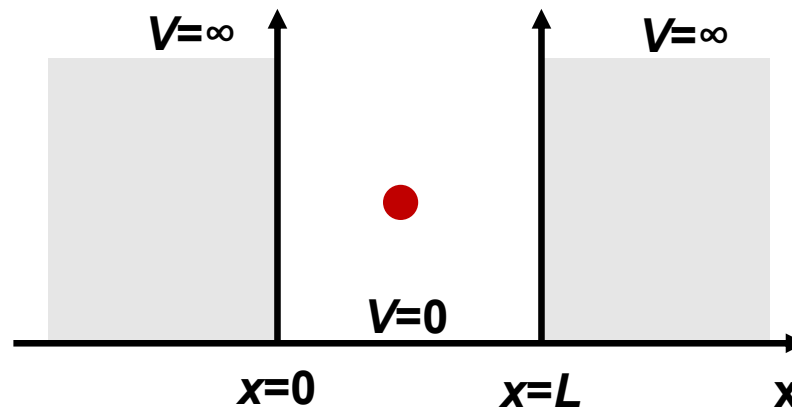
$$\Rightarrow E = \frac{\hbar^2 k^2}{2m} \longrightarrow \psi(\mathbf{x}, t) = Ae^{ikx} e^{-i\frac{E}{\hbar}t} = Ae^{ikx} e^{-i\frac{\hbar k^2}{2m}t}$$

The Infinite Potential Well Problem in 1D

Consider a particle placed inside a 1D box

Inside the box the potential energy $V(x)$ is 0

Outside the box the potential energy $V(x)$ is ∞



The infinite potential at the boundary walls (at $x=0$ and at $x=L$) ensure that the particle has no chance of ever being outside the box

We need to figure out the quantum mechanical behavior of the confined particle:

$$\psi(\mathbf{x}, t) = \phi(\mathbf{x}) e^{-i\frac{E}{\hbar}t}$$

$$-\frac{\hbar^2}{2m} \frac{\partial^2 \phi(\mathbf{x})}{\partial \mathbf{x}^2} + V(\mathbf{x}) \phi(\mathbf{x}) = E \phi(\mathbf{x})$$

The Time-Independent Schrodinger Equation: An Eigenvalue Equation

$$\psi(\mathbf{x}, t) = \phi(\mathbf{x}) e^{-i\frac{E}{\hbar}t}$$
$$\left[-\frac{\hbar^2}{2m} \frac{\partial^2}{\partial \mathbf{x}^2} + V(\mathbf{x}) \right] \phi(\mathbf{x}) = E \phi(\mathbf{x})$$

Energy Eigenvalue

Linear energy operator

Eigenfunction

Compared with a matrix eigenvalue equation:

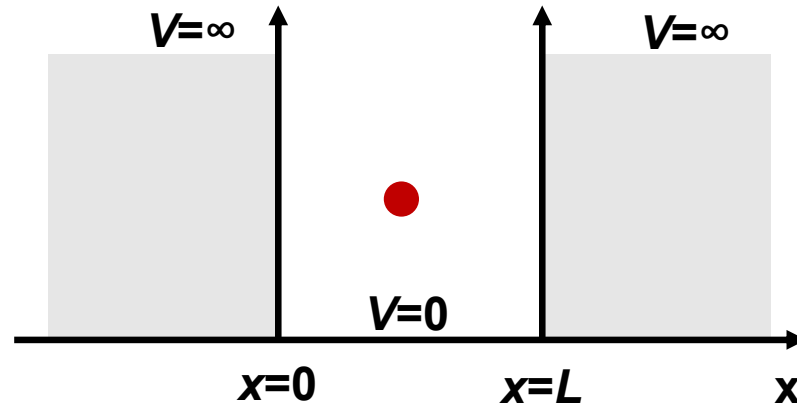
$$\bar{\bar{A}}\mathbf{v} = \lambda\mathbf{v}$$

Eigenvalue

Linear operator (matrix)

Eigenvector (Column vector)

The Infinite Potential Well Problem in 1D



1) Inside the potential well we can use:

$$-\frac{\hbar^2}{2m} \frac{\partial^2 \phi(\mathbf{x})}{\partial \mathbf{x}^2} = E \phi(\mathbf{x})$$

$V(\mathbf{x})=0$ inside

2) Outside the potential well we must have: $\phi(\mathbf{x}) = 0$

Otherwise the average potential energy of the particle will be infinite:

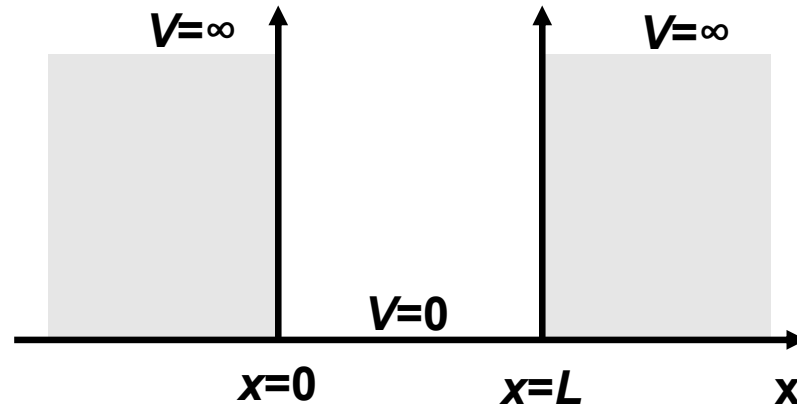
$$\langle V(\mathbf{x}) \rangle = \int_{-\infty}^{\infty} d\mathbf{x} \phi^*(\mathbf{x}) [V(\mathbf{x})] \phi(\mathbf{x})$$

This is a second order differential equation \rightarrow needs two boundary conditions!

$$\phi(\mathbf{x} = 0) = \phi(\mathbf{x} = L) = 0$$

So that the energy of the particle must not become infinite!

The Infinite Potential Well Problem in 1D



Solve:
$$-\frac{\hbar^2}{2m} \frac{\partial^2 \phi(x)}{\partial x^2} = E\phi(x) \quad \xrightarrow{\text{Subject to BCs}} \quad \phi(x=0) = \phi(x=L) = 0$$

Try a solution: $\phi(x) = A \sin(kx)$

$$-\frac{\hbar^2}{2m} \frac{\partial^2 \phi(x)}{\partial x^2} = E\phi(x) \Rightarrow \frac{\hbar^2 k^2}{2m} A \sin(kx) = EA \sin(kx) \Rightarrow E = \frac{\hbar^2 k^2}{2m}$$

What about the Boundary Conditions? $\phi(x=0) = \phi(x=L) = 0$

$\phi(x=0)$ is good

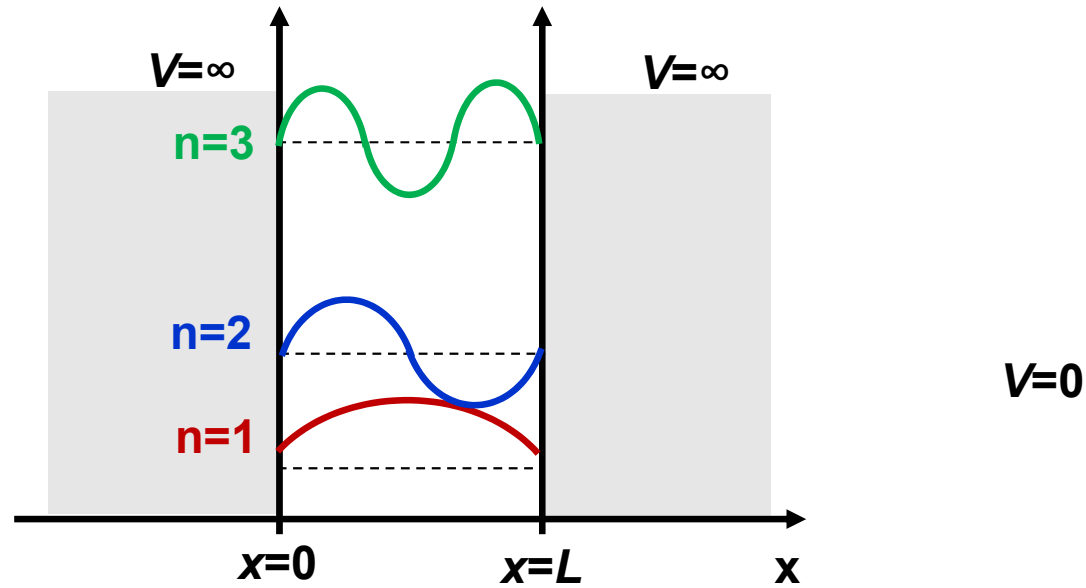


$$\phi(x=L) = 0 \Rightarrow \sin(kL) = 0 \Rightarrow k = n \frac{\pi}{L} \quad \{n = 1, 2, 3, \dots\}$$

k can only take values that are integral multiple of π/L



The Infinite Potential Well Problem in 1D



$$k = n \frac{\pi}{L} \quad \{n = 1, 2, 3, \dots\} \quad E = \frac{\hbar^2 k^2}{2m} = \frac{\hbar^2}{2m} \left(n \frac{\pi}{L} \right)^2$$

So the corresponding solutions are:

$$\phi_n(x) = A \sin\left(n \frac{\pi}{L} x\right) \quad \{n = 1, 2, 3, \dots\}$$

And the corresponding energies are: $E_n = \frac{\hbar^2}{2m} \left(n \frac{\pi}{L} \right)^2 \quad \{n = 1, 2, 3, \dots\}$

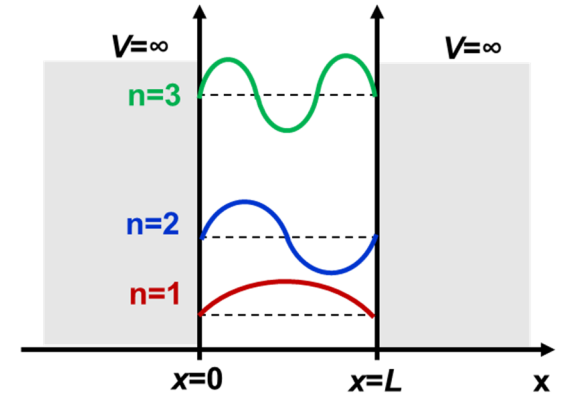
Energy quantization!

The Infinite Potential Well Problem in 1D

$$\phi_n(x) = A \sin\left(n \frac{\pi}{L} x\right)$$

$$\{n = 1, 2, 3, \dots\}$$

$$E_n = \frac{\hbar^2}{2m} \left(n \frac{\pi}{L}\right)^2$$



Proper normalization:

We must have:

$$\int_{-\infty}^{\infty} dx |\phi_n(x)|^2 = 1$$

$$\Rightarrow \int_{-\infty}^{\infty} dx |A|^2 \sin^2\left(n \frac{\pi}{L} x\right) = 1$$

$$\Rightarrow |A|^2 = \frac{2}{L}$$

$$\Rightarrow |A| = \sqrt{\frac{2}{L}} e^{i\phi}$$

Choose the phase ϕ to be 0:

$$\phi_n(x) = \sqrt{\frac{2}{L}} \sin\left(n \frac{\pi}{L} x\right)$$

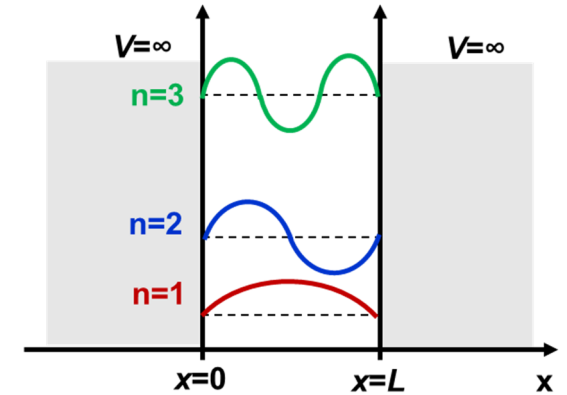
$$E_n = \frac{\hbar^2}{2m} \left(n \frac{\pi}{L}\right)^2$$

$$\{n = 1, 2, 3, \dots\}$$

The Infinite Potential Well Problem in 1D

$$\phi_n(x) = A \sin\left(n \frac{\pi}{L} x\right)$$

$$E_n = \frac{\hbar^2}{2m} \left(n \frac{\pi}{L}\right)^2$$



Orthogonality of the solutions:

Different solutions are orthogonal

$$\int_{-\infty}^{\infty} dx \phi_m^*(x) \phi_n(x) = \delta_{nm}$$

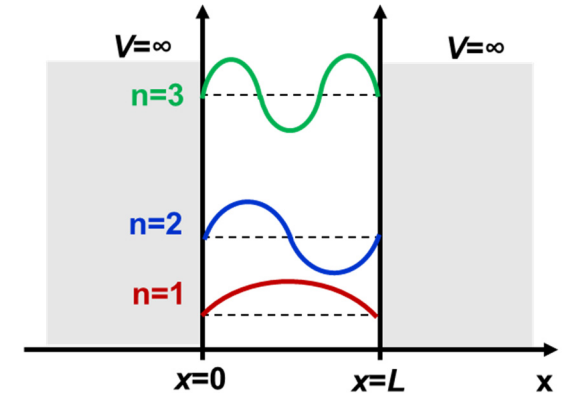
As you will see, this is a very general property of the solutions of the time-independent Schrödinger equation

The Infinite Potential Well Problem in 1D

$$\phi_n(x) = \sqrt{\frac{2}{L}} \sin\left(n \frac{\pi}{L} x\right)$$

$$\{n = 1, 2, 3, \dots\}$$

$$E_n = \frac{\hbar^2}{2m} \left(n \frac{\pi}{L}\right)^2$$



Since we had assumed the actual time-dependent wavefunction is:

$$\psi(x, t) = \phi(x) e^{-i \frac{E}{\hbar} t}$$

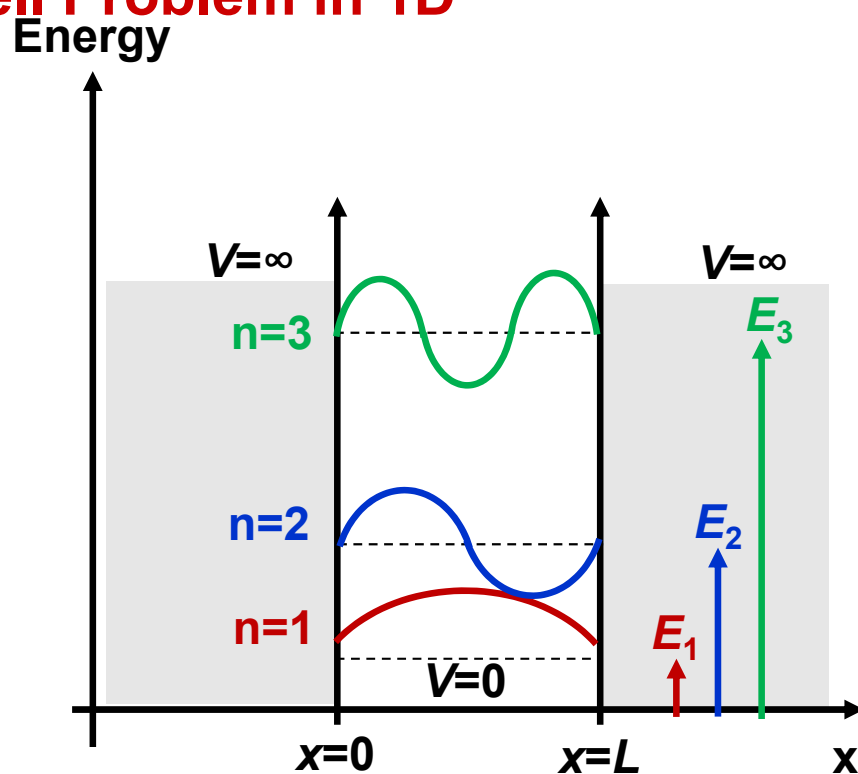
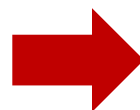
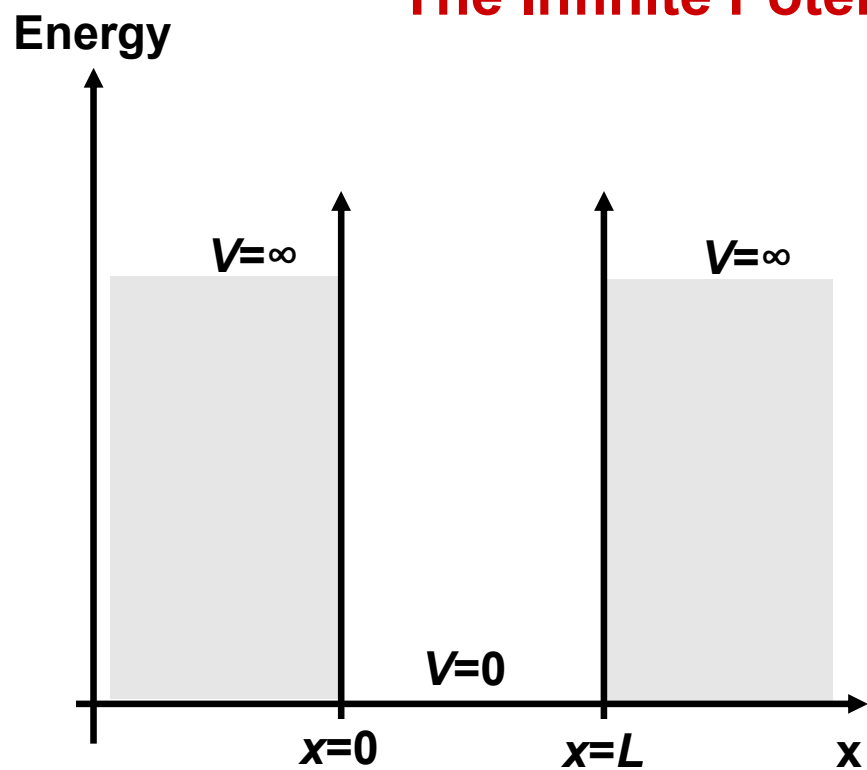
Solutions of the time-dependent Schrödinger equation for the infinite well would look like:

$$\text{If: } \psi(x, t = 0) = \phi_n(x) \quad \{n = 1, 2, 3, \dots\}$$

$$\Rightarrow \psi(x, t) = \phi_n(x) e^{-i \frac{E_n}{\hbar} t}$$

$$\left[i\hbar \frac{\partial \psi(x, t)}{\partial t} = -\frac{\hbar^2}{2m} \frac{\partial^2 \psi(x, t)}{\partial x^2} + V(x) \psi(x, t) \right]$$

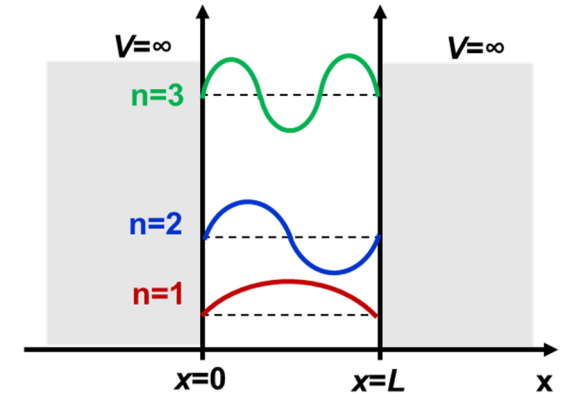
The Infinite Potential Well Problem in 1D



Superposition in Quantum Mechanics

Schrödinger equation is a linear differential equation

This means that a superposition (i.e a sum) of functions that satisfy the equation will also satisfy the equation



For the infinite potential well, since we have found that the following functions satisfy the Schrodinger equation:

$$\phi_n(\mathbf{x}) e^{-i \frac{E_n}{\hbar} t} \quad \{n = 1, 2, 3, \dots\}$$

Therefore, a superposition (i.e. a sum) of these functions, weighted by arbitrary complex coefficients, will also satisfy the time-dependent Schrodinger equation:

$$\psi(\mathbf{x}, t) = \sum_n a_n \phi_n(\mathbf{x}) e^{-i \frac{E_n}{\hbar} t}$$

Complex coefficients

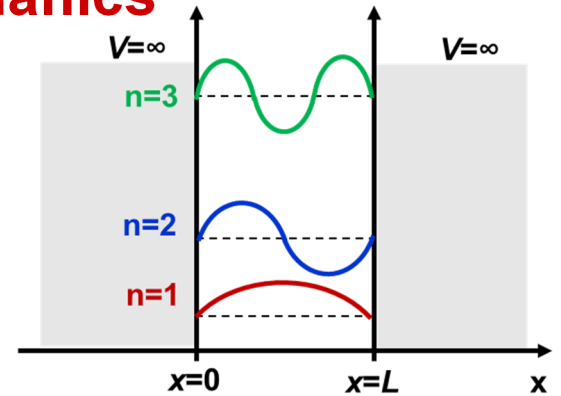
$$\left\{ \begin{aligned} i\hbar \frac{\partial \psi(\mathbf{x}, t)}{\partial t} &= -\frac{\hbar^2}{2m} \frac{\partial^2 \psi(\mathbf{x}, t)}{\partial \mathbf{x}^2} + V(\mathbf{x}) \psi(\mathbf{x}, t) \end{aligned} \right.$$

Superposition in Quantum Mechanics

$$\psi(x, t) = \sum_n a_n \phi_n(x) e^{-i \frac{E_n}{\hbar} t}$$

Complex coefficients

- This solution does not have a fixed precise energy.
- It is a superposition of states each of which has a fixed precise energy.



$$i\hbar \frac{\partial \psi(x, t)}{\partial t} = -\frac{\hbar^2}{2m} \frac{\partial^2 \psi(x, t)}{\partial x^2} + V(x) \psi(x, t)$$

Normalization:

$$\int_{-\infty}^{\infty} dx \psi^*(x, t) \psi(x, t) = 1$$

$$\Rightarrow \sum_n \sum_m a_n^* a_m \int_{-\infty}^{\infty} dx \phi_n^*(x) e^{i \frac{E_n}{\hbar} t} \left[\phi_m(x) e^{-i \frac{E_m}{\hbar} t} \right] = \sum_n \sum_m a_n^* a_m e^{i \frac{E_n}{\hbar} t} e^{-i \frac{E_m}{\hbar} t} \delta_{nm} = 1$$

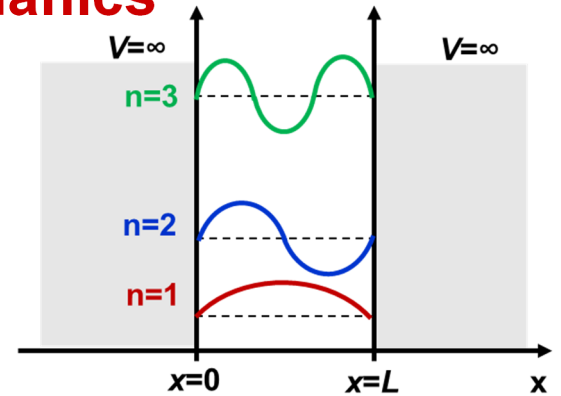
$$\Rightarrow \sum_n |a_n|^2 = 1$$

Superposition in Quantum Mechanics

$$\psi(\mathbf{x}, t) = \sum_n a_n \phi_n(\mathbf{x}) e^{-i \frac{E_n}{\hbar} t}$$

Complex coefficients

- This solution does not have a fixed precise energy.
- It is a superposition of states each of which has a fixed precise energy.



$$i\hbar \frac{\partial \psi(\mathbf{x}, t)}{\partial t} = -\frac{\hbar^2}{2m} \frac{\partial^2 \psi(\mathbf{x}, t)}{\partial \mathbf{x}^2} + V(\mathbf{x}) \psi(\mathbf{x}, t)$$

Mean energy:

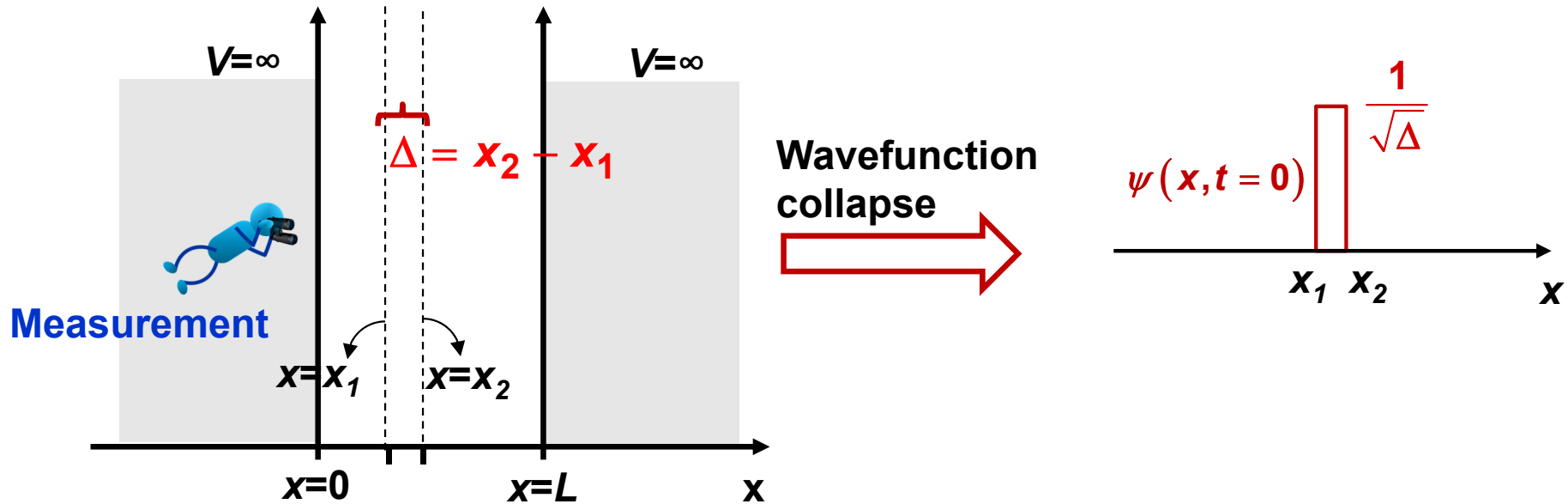
$$\langle E \rangle(t) = \int_{-\infty}^{\infty} d\mathbf{x} \psi^*(\mathbf{x}, t) \left[-\frac{\hbar^2}{2m} \frac{\partial^2}{\partial \mathbf{x}^2} + V(\mathbf{x}) \right] \psi(\mathbf{x}, t)$$

$$= \int_{-\infty}^{\infty} d\mathbf{x} \psi^*(\mathbf{x}, t) \left[i\hbar \frac{\partial}{\partial t} \right] \psi(\mathbf{x}, t) = \sum_m \sum_n a_n^* a_m \int_{-\infty}^{\infty} d\mathbf{x} \phi_n^*(\mathbf{x}) e^{i \frac{E_n}{\hbar} t} \left[E_m \phi_m(\mathbf{x}) e^{-i \frac{E_m}{\hbar} t} \right]$$

$$= \sum_n |a_n|^2 E_n$$

The Infinite Potential Well Problem in 1D: A Post Measurement Time Evolution Problem

Suppose a particle is known to be in a infinite potential well box



A time $t=0$, a measurement is made to locate the particle

The measurement is not very precise and post-measurement the particle is known to be somewhere inside the dashed region of thickness Δ

So immediately after the measurement its wavefunction can be taken to be roughly:

$$\psi(x, t = 0^+) = \begin{cases} \frac{1}{\sqrt{\Delta}} & x_1 \leq x \leq x_2 \\ 0 & \text{otherwise} \end{cases} \quad \Longrightarrow \quad \int_{-\infty}^{\infty} dx |\psi(x, t = 0)|^2 = 1$$

The Infinite Potential Well Problem in 1D: A Post Measurement Time Evolution Problem

Question: What is the particle wavefunction for time $t > 0$??

We try a supersposition solution to match the initial condition:

$$\psi(\mathbf{x}, t) = \sum_n a_n \phi_n(\mathbf{x}) e^{-i \frac{E_n}{\hbar} t}$$

This implies:

$$\psi(\mathbf{x}, t = 0) = \sum_n a_n \phi_n(\mathbf{x})$$

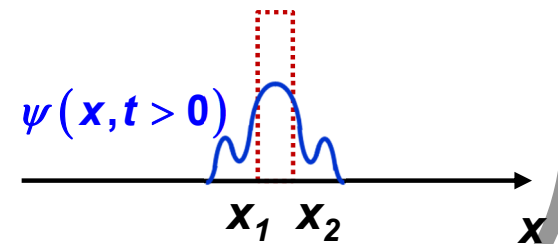
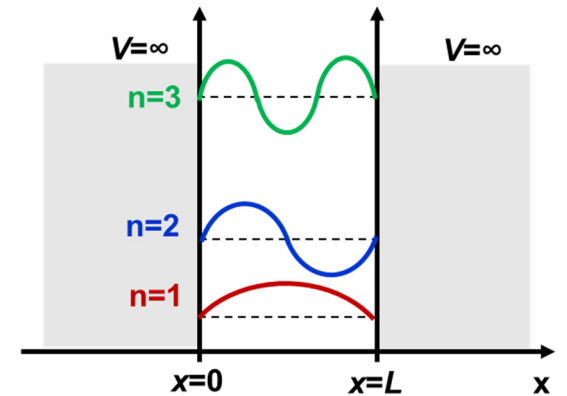
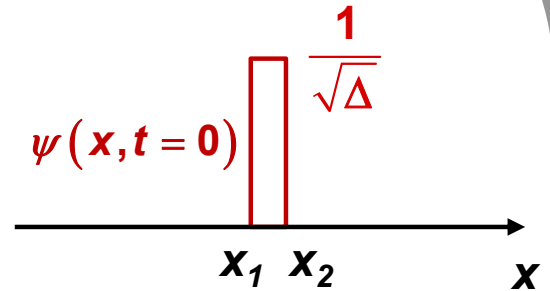
Multiply both sides by $\phi_m^*(\mathbf{x})$ and integrate:

$$\int_{-\infty}^{\infty} d\mathbf{x} \phi_m^*(\mathbf{x}) \psi(\mathbf{x}, t = 0) = \sum_n a_n \int_{-\infty}^{\infty} d\mathbf{x} \phi_m^*(\mathbf{x}) \phi_n(\mathbf{x})$$

$$\Rightarrow \int_{-\infty}^{\infty} d\mathbf{x} \phi_m^*(\mathbf{x}) \psi(\mathbf{x}, t = 0) = \sum_n a_n \delta_{mn}$$

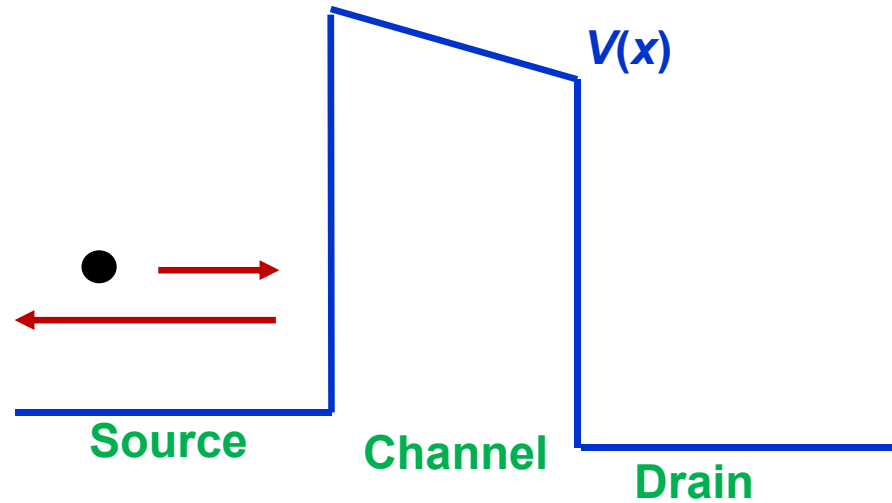
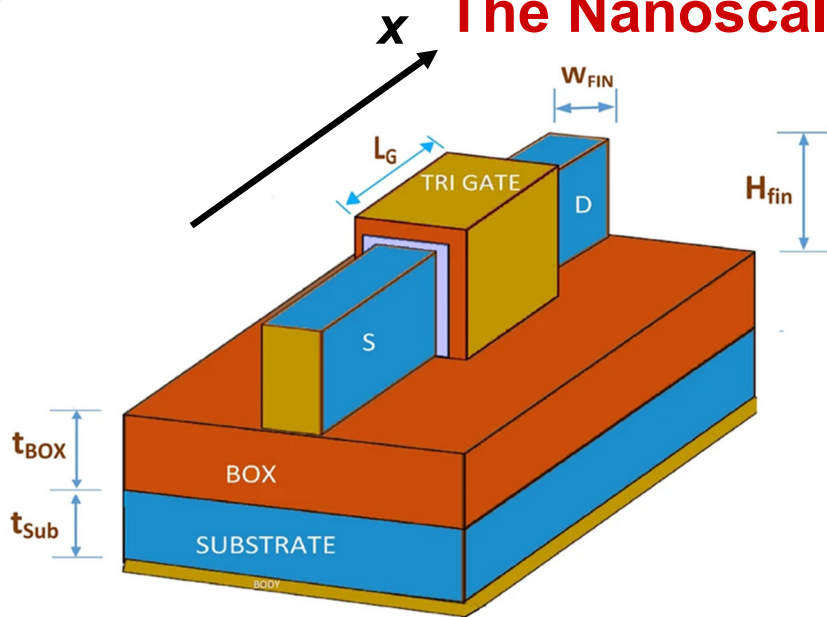
$$\Rightarrow \int_{-\infty}^{\infty} d\mathbf{x} \phi_m^*(\mathbf{x}) \psi(\mathbf{x}, t = 0) = a_m$$

$$\Rightarrow a_m = \frac{1}{\sqrt{\Delta}} \int_{x_1}^{x_2} d\mathbf{x} \phi_m^*(\mathbf{x})$$



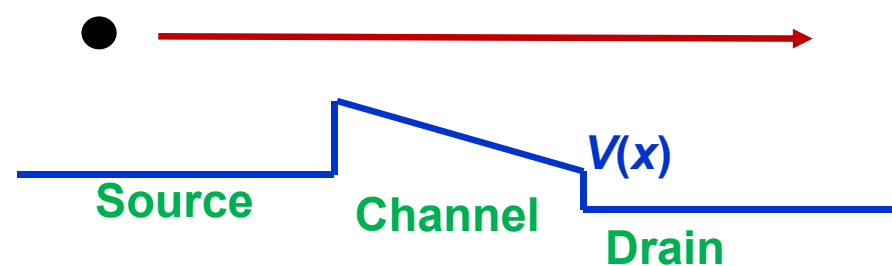
We need to find this coefficient only!

The Nanoscale FET: Classical Picture



Potential energy (transistor off)

No source-drain electron current



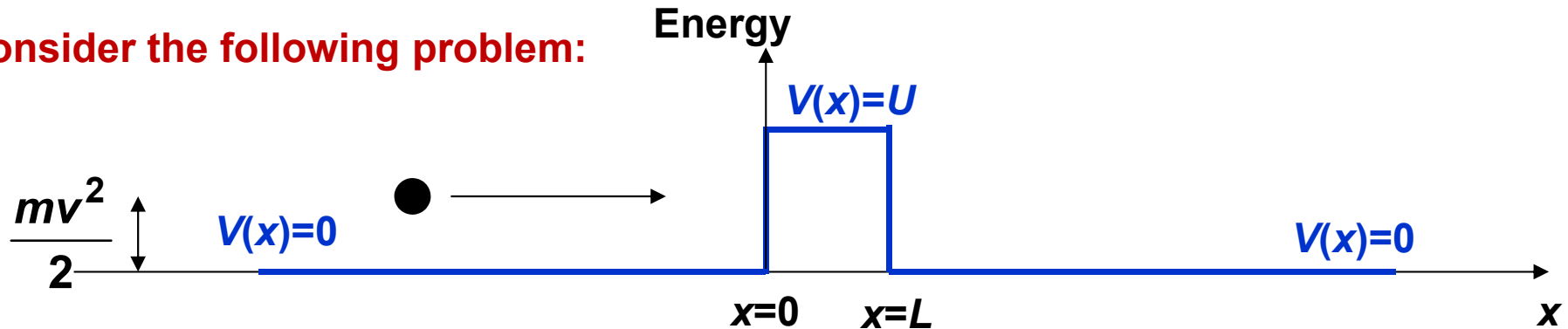
Potential energy (transistor on)

Source-drain electron current

In a FinFET, the potential energy profile seen by the electron can be changed by the application of a gate voltage

Barrier Tunneling in Quantum Physics

Consider the following problem:



Classical physics:

A particle with KE equal to $\frac{mv^2}{2}$ is coming from the left towards a region where the potential is U over a distance L

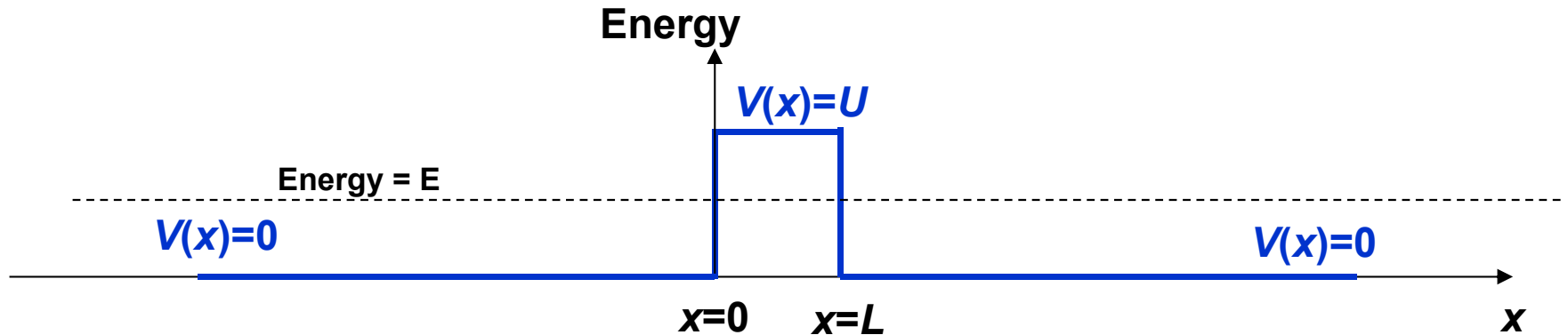
The KE of the particle is less than U : $E = \frac{mv^2}{2} < U$

The particle doesn't have enough KE to go through the potential hill, and will therefore bounce back. The potential hill is also called a **potential barrier**.

Quantum physics:

There is a chance that the particle will go through, or tunnel through, the potential barrier and there is a chance that the particle will bounce back!

Barrier Tunneling in Quantum Physics



We seek solutions of the form:

$$\psi(x, t) = \phi(x) e^{-i\frac{E}{\hbar}t} \quad \longrightarrow \quad -\frac{\hbar^2}{2m} \frac{\partial^2 \phi(x)}{\partial x^2} + V(x)\phi(x) = E\phi(x)$$

Region I:

$$-\frac{\hbar^2}{2m} \frac{\partial^2 \phi(x)}{\partial x^2} = E\phi(x)$$

Region II:

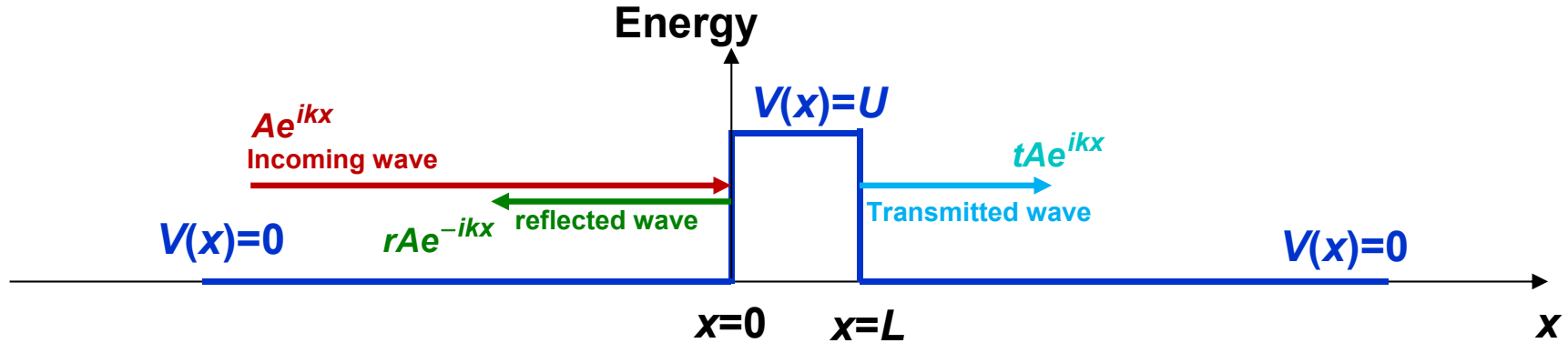
$$-\frac{\hbar^2}{2m} \frac{\partial^2 \phi(x)}{\partial x^2} + U\phi(x) = E\phi(x)$$

Region III:

$$-\frac{\hbar^2}{2m} \frac{\partial^2 \phi(x)}{\partial x^2} = E\phi(x)$$

All same E
 $E < U$

Barrier Tunneling in Quantum Physics: Solutions



Region I:

$$-\frac{\hbar^2}{2m} \frac{\partial^2 \phi(x)}{\partial x^2} = E\phi(x)$$

$$\phi(x) = Ae^{ikx} + rAe^{-ikx}$$

Reflection amplitude

Superposition of two waves

Each wave has energy: $E = \frac{\hbar^2 k^2}{2m} < U$

Incoming wave has momentum: $+\hbar k$

Reflected wave has momentum: $-\hbar k$

Region III:

$$-\frac{\hbar^2}{2m} \frac{\partial^2 \phi(x)}{\partial x^2} = E\phi(x)$$

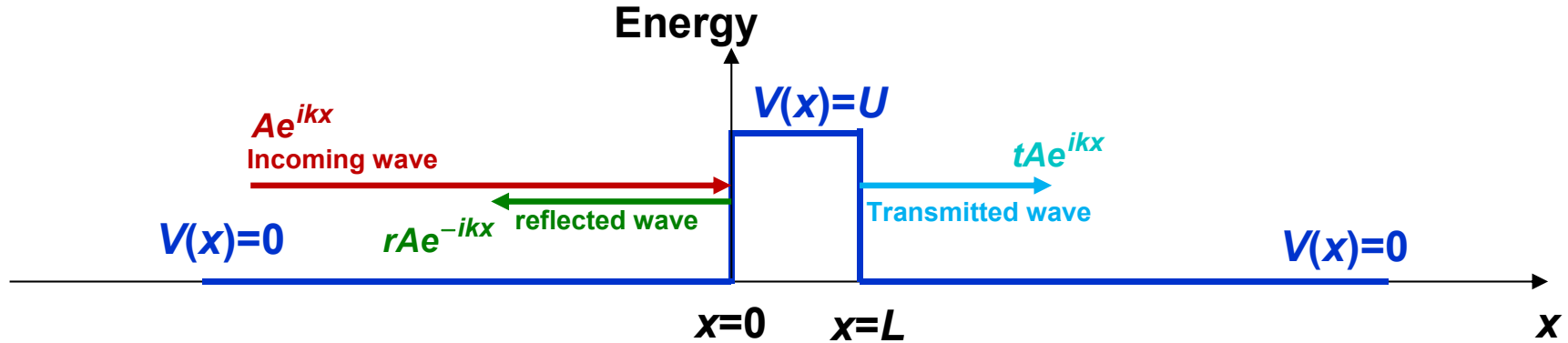
$$\phi(x) = tAe^{ikx}$$

Transmission amplitude

Wave has energy: $E = \frac{\hbar^2 k^2}{2m} < U$

Transmitted wave has momentum: $+\hbar k$

Barrier Tunneling in Quantum Physics: Classically Forbidden Region



Region II (this is a classically forbidden region): $-\frac{\hbar^2}{2m} \frac{\partial^2 \phi(x)}{\partial x^2} + U\phi(x) = E\phi(x)$

If one assumes a solution which is a plane wave: $\phi(x) \propto e^{\pm iqx}$

Then one gets: $\frac{\hbar^2 q^2}{2m} + U = E$

But we also know that the energy $E = \frac{\hbar^2 k^2}{2m} < U$

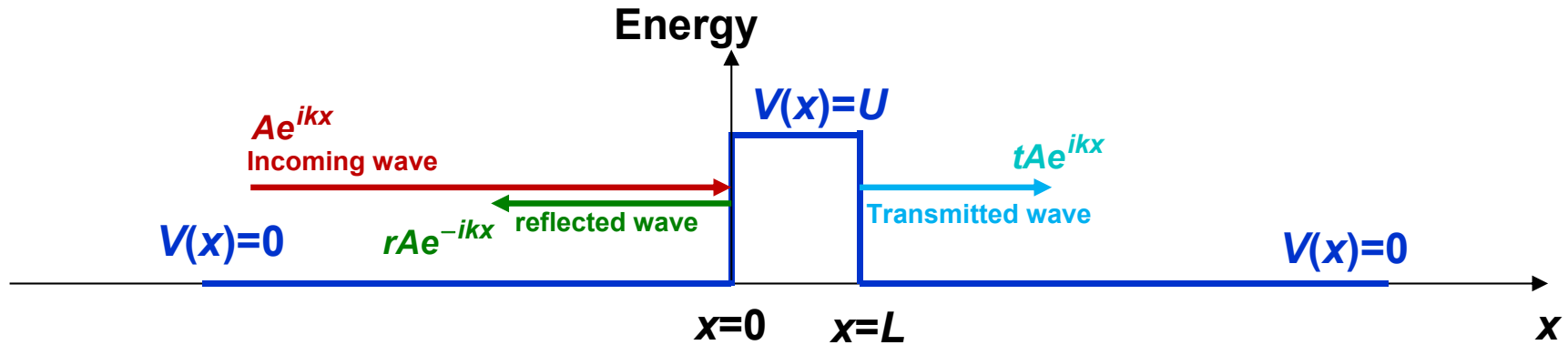
Therefore: $\frac{\hbar^2 q^2}{2m} = -(U - E) \longrightarrow q$ has to be imaginary!!

$$q = \pm i \sqrt{\frac{2m}{\hbar^2} (U - E)} = \pm i\gamma$$

So the solution form is: $\phi(x) \propto B e^{-\gamma x} + C e^{+\gamma x}$

Decaying and growing exponentials!

Barrier Tunneling in Quantum Physics: Solutions



Region I: $\phi(x) = Ae^{ikx} + rAe^{-ikx}$

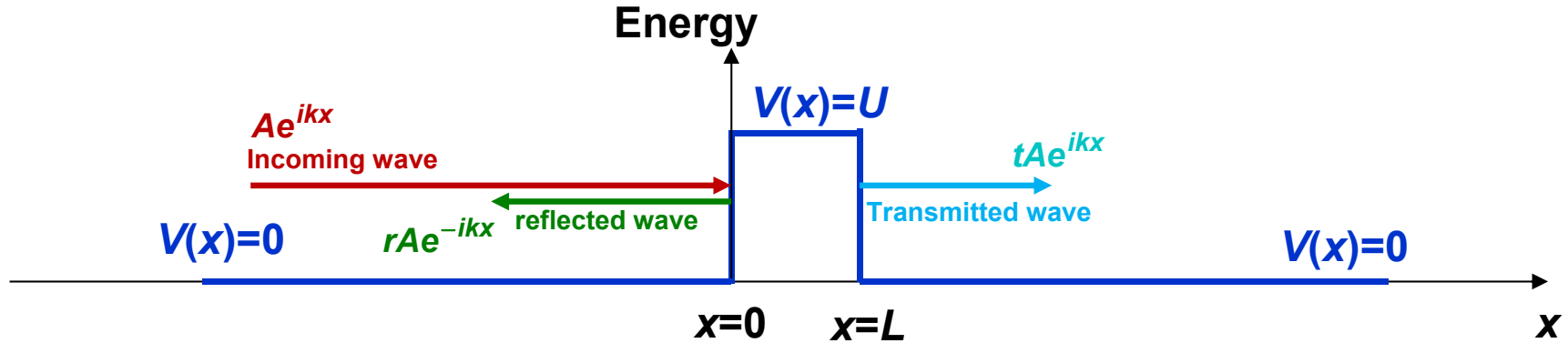
Region II (this is a classically forbidden region): $\phi(x) \propto Be^{-\gamma x} + Ce^{+\gamma x}$

Region III: $\phi(x) = tAe^{ikx}$

Now we need to stitch together these solutions at the boundaries

We have 4 unknown coefficients, which means we need four boundary conditions!

Barrier Tunneling in Quantum Physics: Boundary Conditions



Boundary conditions:

The wavefunction $\phi(x)$ and its derivative $\frac{\partial \phi(x)}{\partial x}$ both must be continuous at all boundaries

Ok – but why??

Recall that:

$$\langle p \rangle = \int_{-\infty}^{\infty} dx \phi^*(x) \left[\frac{\hbar}{i} \frac{\partial}{\partial x} \right] \phi(x)$$

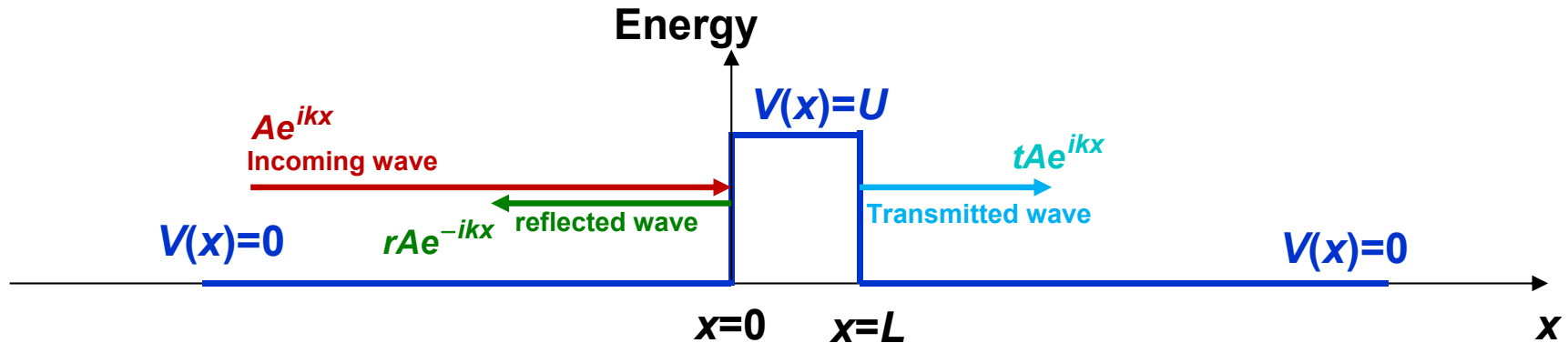
$$\langle E \rangle = \int_{-\infty}^{\infty} dx \phi^*(x) \left[-\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} + V(x) \right] \phi(x)$$

A discontinuity in $\phi(x)$ somewhere would imply an infinite momentum

A discontinuity in $\frac{\partial \phi(x)}{\partial x}$ somewhere would imply an infinite energy

Both are unphysical

Barrier Tunneling in Quantum Physics: Solutions



Region I: $\phi(x) = Ae^{ikx} + rAe^{-ikx}$

Region II (this is a classically forbidden region): $\phi(x) \propto Be^{-\gamma x} + Ce^{+\gamma x}$

Region III: $\phi(x) = tAe^{ikx}$

Apply all the boundary conditions:

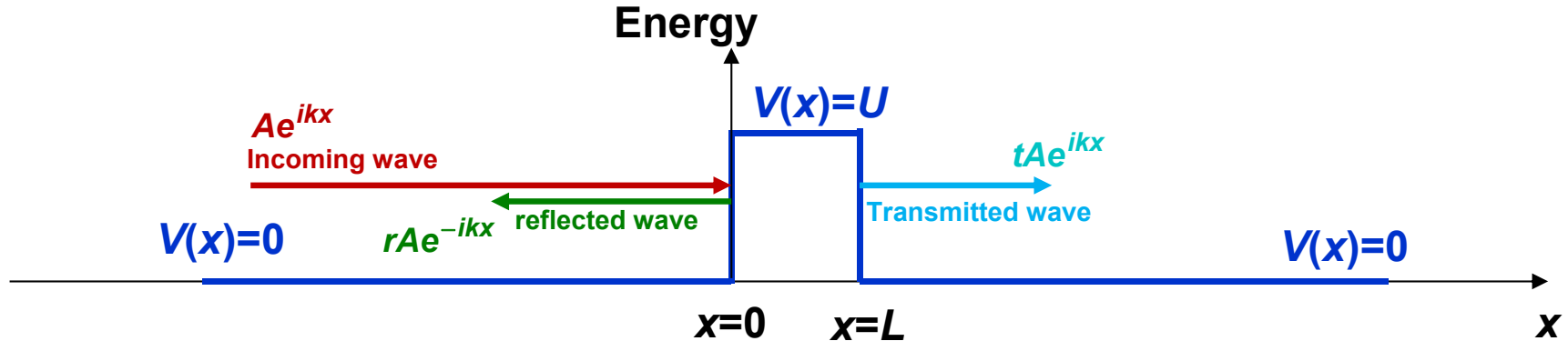
$$A(1+r) = B + C$$

$$ikA(1-r) = -\gamma(B - C)$$

$$Be^{-\gamma L} + Ce^{+\gamma L} = tAe^{ikL}$$

$$-\gamma(Be^{-\gamma L} - Ce^{+\gamma L}) = iktAe^{ikL}$$

Barrier Tunneling in Quantum Physics: Solutions



Region I: $\phi(x) = Ae^{ikx} + rAe^{-ikx}$

Region II (this is a classically forbidden region): $\phi(x) \propto Be^{-\gamma x} + Ce^{+\gamma x}$

Region III: $\phi(x) = tAe^{ikx}$

We get:

$$t = \frac{4ik\gamma e^{-ikL}}{(\gamma + ik)^2 e^{-\gamma L} - (\gamma - ik)^2 e^{+\gamma L}}$$

$$r = \frac{2(\gamma^2 - (ik)^2) \sinh(\gamma L)}{(\gamma + ik)^2 e^{-\gamma L} - (\gamma - ik)^2 e^{+\gamma L}}$$

As $L \rightarrow 0$:

$$t \rightarrow 1$$

$$r \rightarrow 0$$

As expected!

As $L \gg 1/\gamma$:

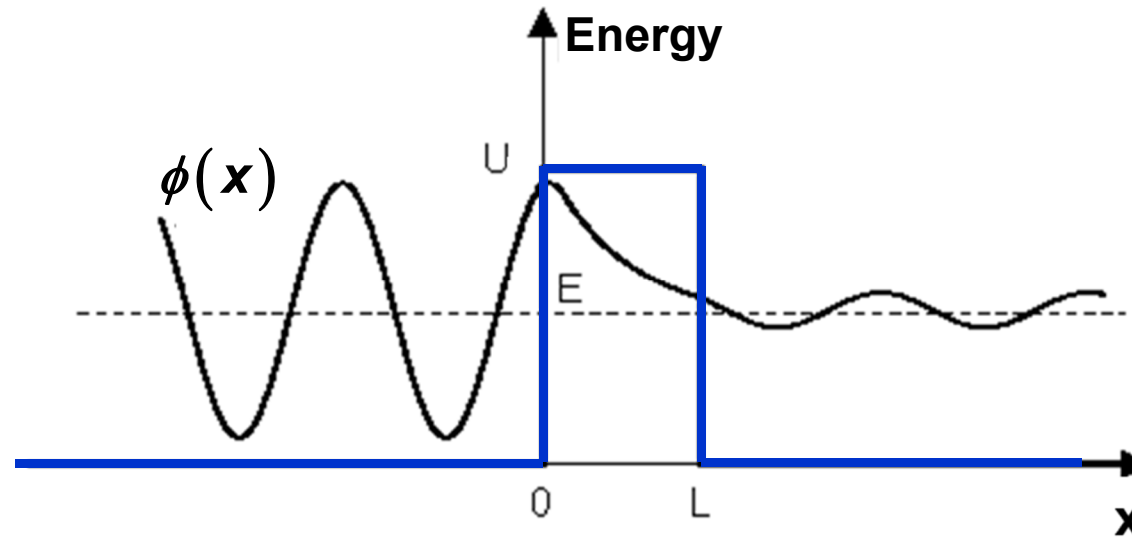
$$t \rightarrow -\frac{4ik\gamma e^{-ikL}}{(\gamma - ik)^2} e^{-\gamma L}$$

Exponentially small, but non-zero transmission amplitude

$$r \rightarrow -\frac{\gamma + ik}{\gamma - ik}$$

Note that $|r| \rightarrow 1$

Barrier Tunneling in Quantum Physics: Solutions



As $L \gg 1/\gamma$:

$$t \rightarrow -\frac{4ik\gamma e^{-ikL}}{(\gamma - ik)^2} e^{-\gamma L}$$

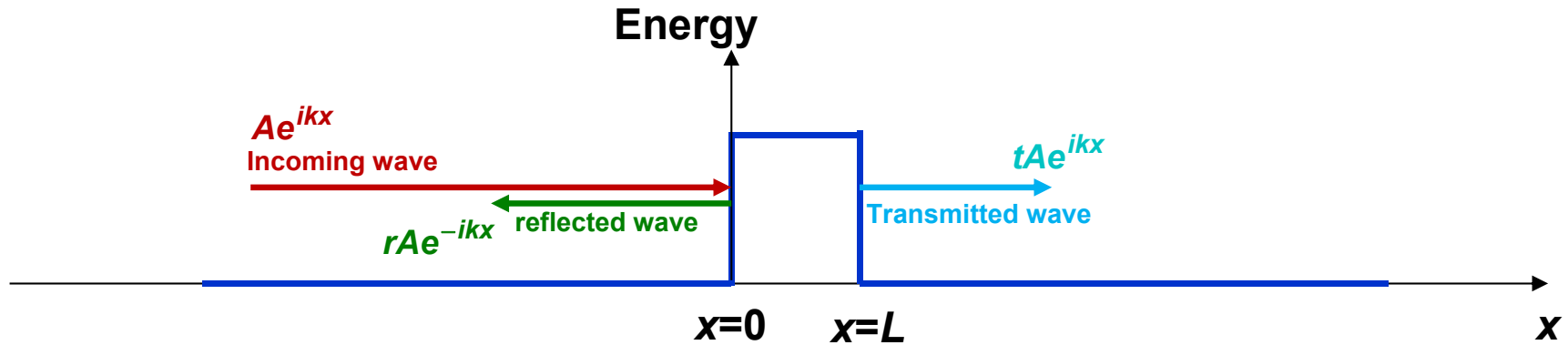
Exponentially small, but non-zero transmission amplitude

$$r \rightarrow -\frac{\gamma + ik}{\gamma - ik}$$

Note that $|r| \rightarrow 1$

$$t \sim e^{-\gamma L} = e^{-\sqrt{\frac{2m}{\hbar^2}(U-E)} L}$$

Barrier Tunneling in Quantum Physics: Probability Current



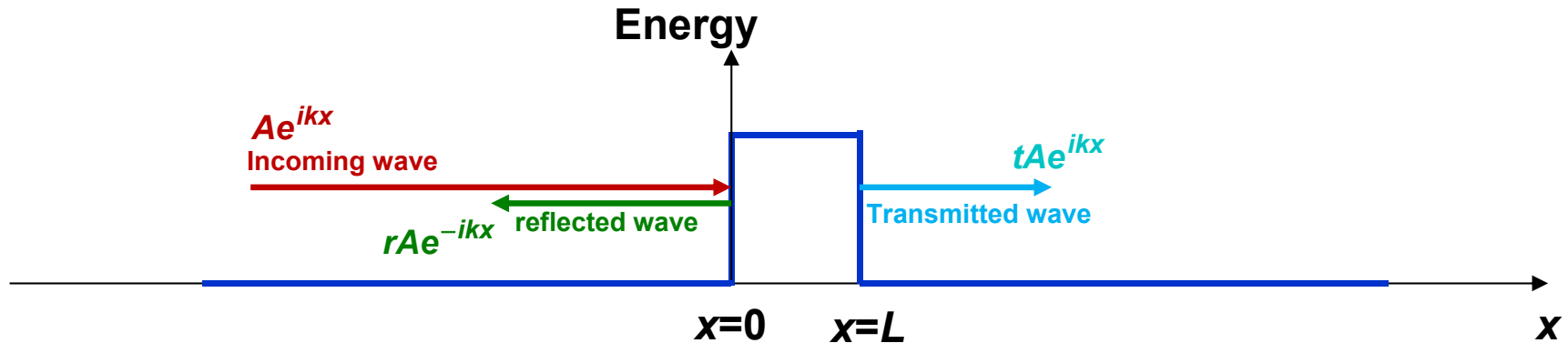
Region I: $\phi(x) = Ae^{ikx} + rAe^{-ikx}$

$$I(x,t) = \psi^*(x,t) \frac{\hbar}{2im} \frac{\partial \psi(\vec{r},t)}{\partial x} - \psi(x,t) \frac{\hbar}{2im} \frac{\partial \psi^*(\vec{r},t)}{\partial x} = \underbrace{|A|^2 \frac{\hbar k}{m}}_{\text{Incoming prob. current}} - \underbrace{|A|^2 \frac{\hbar k}{m} |r|^2}_{\text{Reflected prob. current}}$$

Region II: $\phi(x) = tAe^{-ikx}$

$$I(x,t) = \psi^*(x,t) \frac{\hbar}{2im} \frac{\partial \psi(\vec{r},t)}{\partial x} - \psi(x,t) \frac{\hbar}{2im} \frac{\partial \psi^*(\vec{r},t)}{\partial x} = \underbrace{|A|^2 \frac{\hbar k}{m} |t|^2}_{\text{Transmitted prob. current}}$$

Barrier Tunneling in Quantum Physics: Probability Current



Conservation of probability: Incoming probability current (coming towards the potential barrier) must equal the outgoing probability current (going away from the potential barrier)

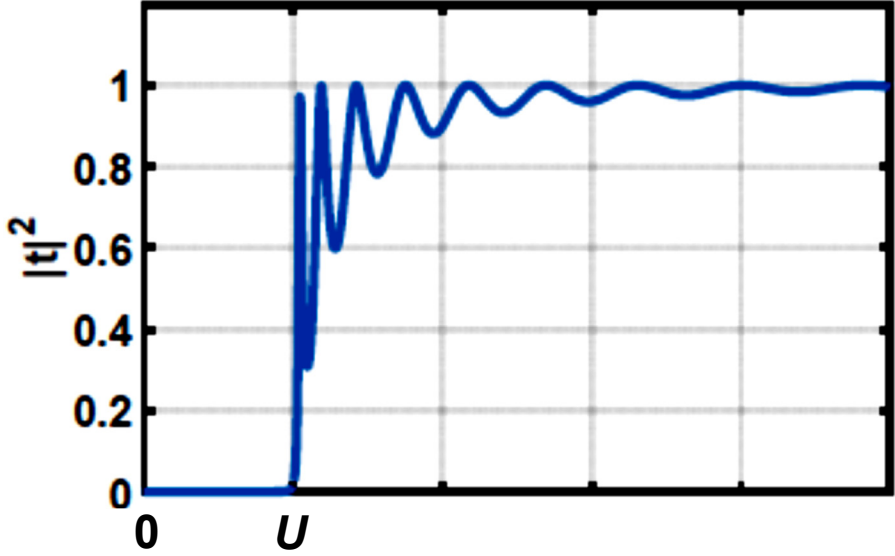
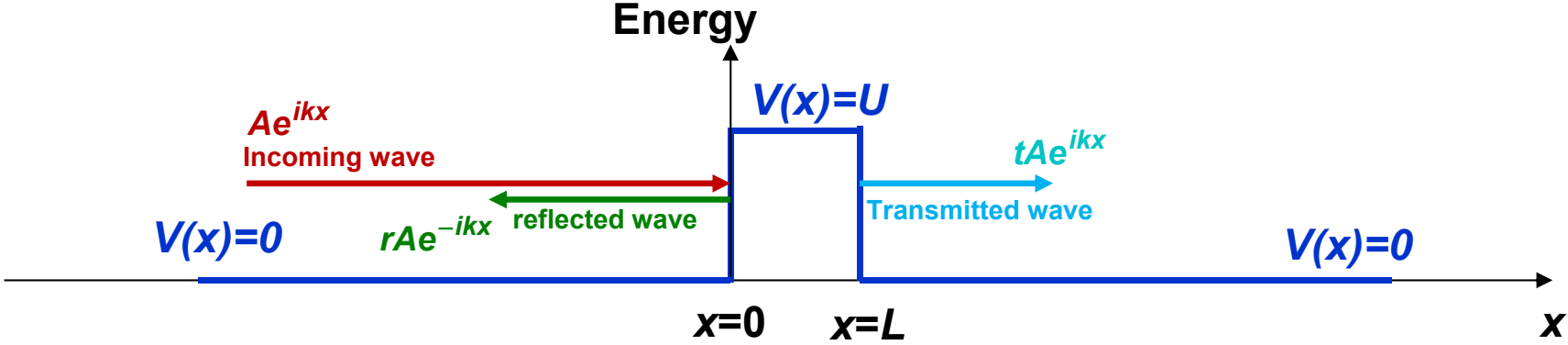
This implies:

Incoming probability current = reflected probability current
+ transmitted probability current

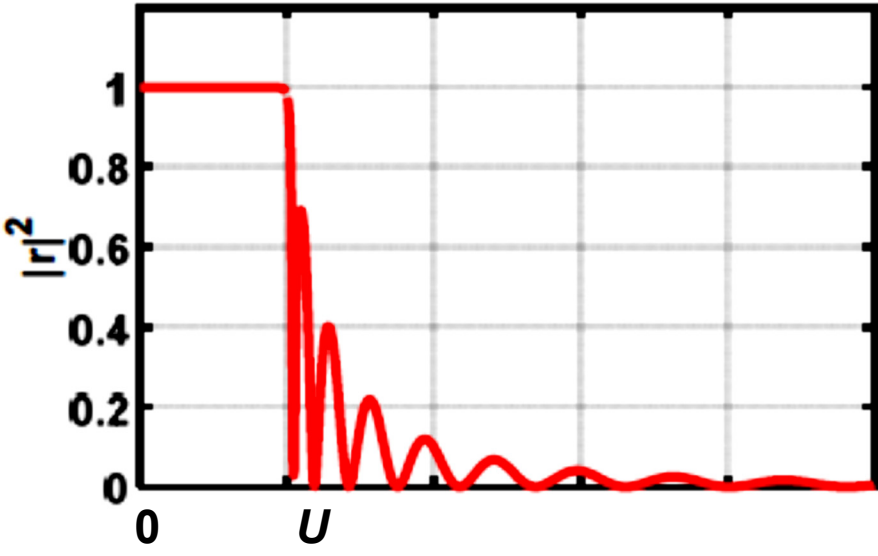
$$|A|^2 \frac{\hbar k}{m} = |A|^2 \frac{\hbar k}{m} |r|^2 + |A|^2 \frac{\hbar k}{m} |t|^2$$

$$\Rightarrow 1 = |r|^2 + |t|^2$$

Barrier Tunneling in Quantum Physics: Probability Current



Energy of the incoming electron



Energy of the incoming electron